

8-31-01

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UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. **Mo-6422/LeA 34,055**
 First Inventor **Michael Gerle**
 Title **AROMATIC POLYISOCYANATES BLOCKED BY ...**
 Express Mail Label No. **ET146898888US**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status
 See 37 CFR 1.27.
3. ☒ Specification [Total Pages **35**]
(Preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table,
 or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☐ Drawing(s) (35 U.S.C. 113) [Total Sheets ☐]
5. Oath or Declaration [Total Pages **2**]
 a. ☒ Newly executed (original or copy)
 Copy from a prior application (37 CFR 1.63 (d))
 b. ☐ (for continuation/divisional with Box 18 completed)
 i. ☐ **DELETION OF INVENTOR(S)**
 Signed statement attached deleting inventor(s)
 named in the prior application, see 37 CFR
 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
 Box Patent Application
 Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or
 Computer Program (Appendix)
 8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 a. ☐ Computer Readable Form (CRF)
 b. Specification Sequence Listing on:
 i. ☐ CD-ROM or CD-R (2 copies); or
 ii. ☐ paper
 c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
☐ 37 CFR 3.73(b) Statement of Attorney
(when there is an assignee)
☐ English Translation Document (if applicable)
☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
☐ Preliminary Amendment
☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
☒ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
☐ Request and Certification under 35 U.S.C. 122
 (b)(2)(B)(i). Applicant must attach form PTO/SB/35
 or its equivalent.
 17. Other: _____

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. _____
 Prior application information Examiner _____ Group Art Unit _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion of the disclosure of the prior application is specifically and expressly omitted from the submitted application parts.

INVOICE ADDRESS

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 PATENT TRADEMARK OFFICE

Name

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State

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Telephone

Fax

Name (Print/Type)

Richard E.L. Henderson

Registration No. (Attorney/Agent)

31,619

Signature

Richard E.L. Henderson

Date

August 29, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

08/29/01

10-90 U.S. PTO

U.S. PTO
 09/942465
 08/29/01

FEE TRANSMITTAL

for FY 2000

Patent fees are subject to annual revision.
Small Entity payments must be supported by a small entity statement,
otherwise large entity fees must be paid. See Forms PTO/SB/09-12
See 37 C.F.R. §§ 1.27 and 1.29

TOTAL AMOUNT OF PAYMENT (\$) 750.00

Complete if Known

Application Number To be Assigned
Filing Date Herewith
First Named Inventor Michael Gerle
Examiner Name ---
Group / Art Unit ---
Attorney Docket No. Mo-6422/LeA 34,055

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to

Deposit Account Number 13-3848
Deposit Account Name Bayer Corporation

☒ Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17

2. ☐ Payment Enclosed:

☐ Check ☐ Money Order ☐ Other

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity Fee Code Fee Code Fee Code	Fee Description	Fee Paid
105 130 205 65	Surcharge - late filing fee or oath	0.00
127 50 227 25	Surcharge - late provisional filing fee or cover sheet	0.00
139 130 139 130	Non-English specification	0.00
147 2,520 147 2,520	For filing a request for reexamination	0.00
112 920* 112 920*	Requesting publication of SIR prior to Examiner action	0.00
113 1,840* 113 1,840*	Requesting publication of SIR after Examiner action	0.00
115 110 215 55	Extension for reply within first month	0.00
116 360 216 190	Extension for reply within second month	0.00
117 870 217 435	Extension for reply within third month	0.00
118 1,360 218 680	Extension for reply within fourth month	0.00
128 1,850 228 925	Extension for reply within fifth month	0.00
119 300 219 150	Notice of Appeal	0.00
120 300 220 150	Filing a brief in support of an appeal	0.00
121 260 221 130	Request for oral hearing	0.00
138 1,510 138 1,510	Petition to institute a public use proceeding	0.00
140 110 240 55	Petition to revive - unavoidable	0.00
141 1,210 241 605	Petition to revive - unintentional	0.00
142 1,210 242 605	Utility issue fee (or reissue)	0.00
143 430 243 215	Design issue fee	0.00
144 580 244 290	Plant issue fee	0.00
122 130 122 130	Petitions to the Commissioner	0.00
123 50 123 50	Petitions related to provisional applications	0.00
126 240 126 240	Submission of Information Disclosure Stmt	0.00
581 40 581 40	Recording each patent assignment per property (times number of properties)	40.00
146 690 246 345	Filing a submission after final rejection (37 CFR § 1.129(a))	0.00
149 690 249 345	For each additional invention to be examined (37 CFR § 1.129(b))	0.00
Other fee (specify) _____		0.00
Other fee (specify) _____		0.00
SUBTOTAL (3)		(\$)
		40.00

* Reduced by Basic Filing Fee Paid

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code	Fee Code	Fee Description	Fee Paid
101	690	201	345	Utility filing fee	710.00
106	310	206	155	Design filing fee	
107	480	207	240	Plant filing fee	
108	690	208	345	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
15	20**	0	0
1	3**	0	0
		80	0
		0	0

* number previously paid, if greater. For Reissues, see below

Large Entity	Small Entity	Fee Code	Fee Code	Fee Description	
103	18	203	9	Claims in excess of 20	
102	78	202	39	Independent claims in excess of 3	
104	260	204	130	Multiple dependent claim, if not paid	
109	78	209	39	** Reissue independent claims over original patent	
110	18	210	9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)				(\$)	0.00

SUBMITTED BY

Name (Print/Type) Richard E.L. Henderson
Signature Richard E.L. Henderson

Registration No (Attorney/Agent) 31,619

Complete (if applicable)

Telephone (412) 777-8349
Date 8/29/01

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